

Receipt

PTO/SB/21 (12/97)
Approved for use through 9/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/651,140
		Filing Date	August 30, 2000
		First Named Inventor	Wenbin Jiang
		Group Art Unit	2633
		Examiner Name	Unknown
Total Number of Pages in This Submission	15	Attorney Docket Number	3918P002XX1

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Request for Correction of Filing Receipt</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William E. Alford, Reg. No. 37,764 BLAKELEY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 27, 2001

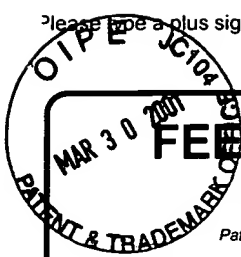
CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: March 27, 2001			
Typed or printed name	Susan McFarlane		
Signature		Date	March 27, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please use a plus sign (+) inside this box



Approved for use through 09/30/2000. OMB 0651-0032
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FEE TRANSMITTAL **for FY 2000**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

0

Complete if Known

Application No. 09/651,140
Filing Date August 30, 2000
First Named Inventor Wenbin Jiang
Examiner Name Unknown
Group/Art Unit 2633
Attorney Docket Number 3918P002XX1

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METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

- ☒ Applicant claims small entity status. See 37 CFR 1.27.

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid

**or number previously paid, if greater, For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	130	204	135	Multiple Dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920 *	112	920 *	*Requesting publication of SIR prior to Examiner action	
113	1,840 *	113	1,840 *	*Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

William E. Afford

Registration No.
(Attorney/Agent)

37,764

Telephone

(714) 557-3800

Signature

Date

03/27/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED



Our Docket No.: 003918.P002XX1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wenbin Jiang, et al.

Application No.: 09/651,140

Art Group: 2633

Filed: August 30, 2000

For: **METHOD AND APPARATUS FOR
PARALLEL OPTICAL
INTERCONNECTION OF FIBER
OPTIC TRANSMITTERS,
RECEIVERS AND
TRANSCEIVERS**

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REQUEST FOR CORRECTION OF FILING RECEIPT

APPLICATION PROCESSING DIVISION
CUSTOMER CORRECTION BRANCH
Assistant Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

We have received a Filing Receipt (copy attached) for the above-identified U.S. Patent Application. Upon our review, we have noticed that the continuing data as claimed by applicant is incorrect.

The receipt indicates:

“THIS APPLICATION IS A DIV OF 09/321,308 05/27/1999
WHICH IS A DIV OF 09/320,409 05/26/1999”

The Continuing Data as Claimed by Applicant should be corrected to read as follows:

--"THIS APPLICATION IS A CIP OF 09/321,308 05/27/1999
AND 09/320,409 05/26/1999"--

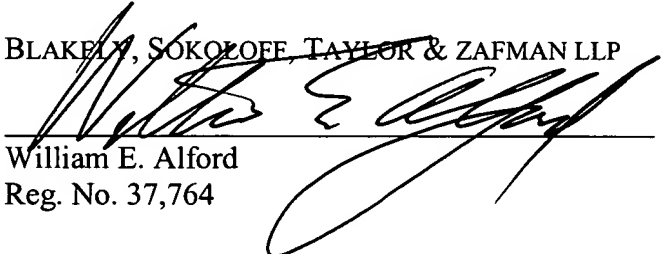
Please correct your records to reflect the correct data and send us a corrected filing receipt. If there are any charges, please charge them to Account No. 02-2666. A duplicate copy of our Fee Transmittal is enclosed for this purpose. This is the second such request. Copies of our previous request is also enclosed.

Applicants' undersigned attorney may be reached in our Costa Mesa office by telephone at (714) 557-3800. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 27, 2001


William E. Alford
Reg. No. 37,764

12400 Wilshire Boulevard, Seventh Floor
Los Angeles, California 90025
(714) 557-3800

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: March 27, 2001


Susan McFarlane
3/27/01
Date



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 1297

SERIAL NUMBER 09/651,140	FILING DATE 08/30/2000 RULE	CLASS 359	GROUP ART UNIT 2633	ATTORNEY DOCKET NO. 003918.P002XX1
APPLICANTS Wenbin Jiang, Thousand Oaks, CA; Hsing-Chung Lee, Calabasas, CA; Min-Wen Cheng, Torrance, CA; Chan-Long Shieh, Paradise Valley, AZ; Cheng Ping Wei, Gilbert, AZ; Edwin D. Dair, Los Angeles, CA;				
** CONTINUING DATA ***** THIS APPLICATION IS A CIP OF 09/321,308 05/27/1999 AND A CIP OF 09/320,409 05/26/1999 PAT 6,213,651				
** FOREIGN APPLICATIONS *****				
** SMALL ENTITY **				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		STATE OR COUNTRY CA	SHEETS DRAWING 7	TOTAL CLAIMS 36
				INDEPENDENT CLAIMS 5
ADDRESS William E Alford Blakely Sokoloff Taylor & Zafman LLP 12400 Wilshire Boulevard 7th Floor Los Angeles , CA 90025				
TITLE Method and apparatus for parallel optical interconnection of fiber optic transmitters, receivers and transceivers				
FILING FEE RECEIVED 632	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/651,140	08/30/2000	2633	632	003918-P01ZX	7	36	5

William E Alford
Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Boulevard
7th Floor
Los Angeles, CA 90025

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FILING RECEIPT



OC000000005590586

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Date Mailed: 12/01/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Wenbin Jiang, Thousand Oaks, CA ;
Hsing-Chung Lee, Calabasas, CA ;
Min-Wen Cheng, Torrance, CA ;
Chan-Long Shieh, Paradise Valley, AZ ;
Cheng Ping Wei, Gilbert, AZ ;
Edwin D. Dair, Los Angeles, CA ;

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DEC 11 2000
BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
COSTA MESA

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A DIV OF 09/321,308 05/27/1999
WHICH IS A DIV OF 09/320,409 05/26/1999

Foreign Applications**** SMALL ENTITY ******Title**

Method and apparatus for parallel optical interconnection of fiber optic transmitters, receivers and transceivers

Preliminary Class

359

ENTERED

DEC 08 2000

STATUS DB-LA

Date: 12/01/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Application No.: 09/651,140 Filing Date: 08/30/00 BSTZ Docket #: 003918.P002XX1 Atty/Sec: RWB/WEA/RLV/mns
Date Mailed: 11/15/2000 Docket Due Date: 12/10/2000 Client: E2O Communications, Inc.

Title METHOD AND APPARATUS FOR PARALLEL OPTICAL INTERCONNECTION OF FIBER
OPTIC TRANSMITTERS, RECEIVERS AND TRANCEIVERS

Inventor(s) Jiang, Lee, Cheng, Shieh, Wei, Dair

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (___ pgs)
☐ Amendment/Response After Final (___ pgs)
☐ Appeal Brief & two copies (___ pgs each)
☐ Application:
(___ pages w/ cover & abstract)
☐ Assignment & Cover Sheet (___ pgs)
☒ Certificate of Mailing
☒ Declaration & POA (4 pgs) signed _____
☐ Disclosure Docs & Inv's Signed Ltr
☐ Drawings: ___ sheets, ___ figures
☐ Express Mail No. _____
☒ Fee Transmittal (original & copy)
☒ Other Request for Correction of Filing Receipt
with a copy of filing receipt (6 pgs)
- ☐ Information Disclosure Statement & PTO-1449 (___ pgs)
☐ Issue Fee Transmittal (original & copy)
☐ Notice of Appeal
☐ Petition for Extension of Time: _____
☐ Petition for _____ (___ pgs)
☐ Power of Attorney (___ pgs)
☐ Preliminary Amendment (___ pgs)
☐ Reply Brief (___ pgs)
☒ Response to Notice of Missing Parts & PTO-1533 (2 pgs)
☐ Supplemental Amendment (___ pgs)
☐ Small Entity Declaration for Indep. Inven/Sm. Business
☒ Transmittal Letter
☒ Check No. 1 11184 Amount \$65.00
☐ Check No. 2 Amount _____
☐ Check No. 3 Amount _____

v5.2

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NOV 28 2000
STATUS DB-LA